

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

CAI et al.

Docket No.:

00980.1117-US-U1

Serial No.:

To Be Assigned

Filed:

September 8, 2003

Title:

SEMICONDUCTOR OPTICAL DEVICE HAVING ASYMMETRIC RIDGE

WAVEGUIDE AND METHOD OF MAKING SAME

CERTIFICATE UNDER 37 C.F.R. 1.10:

'Express Mail' mailing number: EV 314777084 US

Date of Deposit: September 8, 2003

The undersigned hereby certifies that this Transmittal Letter and the paper or fee, as described herein, are being deposited with the United States Postal Service Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Cheryl Millman

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

- Patent application including 12 pages of specification, 9 pages of claims, 6 sheets of Ø drawings and 1 page of abstract
- Unsigned Declaration and Power of Attorney
- Information Disclosure Statement, Form 1449
- **Transmittal Sheet**
- Return postcard

Filing Fees are being deferred

Assignee Information for Publication Purposes

Assignee Name:

ADC Telecommunications, Inc.

Assignee Residence: Eden Prairie, Minnesota

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted.

Altera Law Group, LLC

Customer No. 22865

Date: September 8, 2003

By:

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IAM/vlb